

## **STEVEN L. HARRIS**

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### **EMPLOYMENT**

#### Current Position

Professor, Chicago–Kent College of Law.  
Norman & Edna Freehling Scholar, 1999–2002.  
Courses: Secured Transactions, Bankruptcy, Remedies, Payment Systems, Contracts.

#### Previous Positions

Professor, University of Illinois College of Law, 1985–96. Visiting Professor, Spring, 2008; Spring, 2009. Associate Professor, 1984–85.

Visiting Professor, University of Chicago Law School, Winter, 2000; University of Michigan Law School, Fall, 1995; Northwestern University School of Law, Fall, 1988.

Counsel and scholar–in–residence, Sidley & Austin, Chicago, 1990–91 (on leave from U. of Illinois).

Associate Professor, Wayne State University Law School, 1981–84. Assistant Professor, 1979–81.

Assistant Professor, University of Detroit School of Law, 1978–79.

Associate, Levy and Erens, Chicago, 1975–77.

Law clerk to Justices Robert E. English and Charles R. Barrett, Illinois Appellate Court, Chicago, 1973–75.

### **EDUCATION**

University of Chicago Law School, 1970–73. J.D., 1973.  
President, Law Students Association.

University of Chicago, The College, 1968–70.  
B.A. with high honors from the department of political science, 1970.  
Phi Beta Kappa; Harold E. Goettler Prize for outstanding senior thesis.

University of California, Los Angeles (UCLA), 1966–68.  
Phi Eta Sigma (academic honorary); Alpha Mu Gamma (foreign language honorary).

**PUBLICATIONS: Articles**

- “Making Sense of UCC Section 9-332(b)” (in progress).
- “The Rules of Interpretation of the Uniform Commercial Code and Common Law Intervention into Article 9 Priorities” (with Kenneth C. Kettering) (in progress).
- “Perfecting Security Party v. Lien Creditor: When Priority in Deposit Accounts Doesn't Guarantee a Win” (in progress).
- “Consignments: Learning from Judicial Mistakes in "Easy" Cases with "Painfully Clear" Law” (in progress).
- “When Is a Dog’s Tail Not a Leg: A Property–Based Methodology for Distinguishing Sales of Receivables from Security Interests That Secure an Obligation,” 82 *University of Cincinnati Law Review* 1029 (2014) (with Charles W. Mooney, Jr.).
- “Using First Principles of UCC Article 9 to Solve Statutory Puzzles in Receivables Financing,” 46 *Gonzaga Law Review* 297 (2010/11) (with Mooney).
- “The International Rail Registry,” 12 *Uniform Law Review* 531 (2007).
- “Choosing the Law Governing Security Interests in International Bankruptcies,” Symposium on Bankruptcy Law in the Global Village, 32 *Brooklyn International Law Journal* 905 (2007).
- “The Unfortunate Life and Merciful Death of the Avoidance Powers under Section 103 of the Durbin–Delahunt Bill: What Were They Thinking?,” 25 *Cardozo Law Review* 1829 (2004) (with Mooney).
- “Revised Article 9 Meets the Bankruptcy Code: Policy and Impact,” 9 *American Bankruptcy Institute Law Review* 85 (2001) (with Mooney).
- “How Successful Was the Revision of UCC Article 9?: Reflections of the Reporters,” Symposium on Revised UCC Article 9, 74 *Chicago–Kent Law Review* 1357 (1999) (with Mooney).
- “Measuring the Social Costs and Benefits and Identifying the Victims of Subordinating Security Interests in Bankruptcy,” 82 *Cornell Law Review* 1349 (1997) (with Mooney).
- “Choosing the Law Governing Perfection: The Data and Politics of Article 9 Filing,” 79 *Minnesota Law Review* 663 (1995) (with Mooney).
- “A Property–Based Theory of Security Interests: Taking Debtors’ Choices Seriously,” 80 *Virginia Law Review* 2021 (1994) (with Mooney).

- “Article 6: The Process and the Product—An Introduction,” Symposium on Article 6 of the Uniform Commercial Code, 41 *Alabama Law Review* 549 (1990).
- “The Interface Between Articles 2A and 9,” 22 *Uniform Commercial Code Law Journal* 99 (1989).
- “The Rights of Creditors Under Article 2A,” Symposium on Article 2A of the Uniform Commercial Code, 39 *Alabama Law Review* 803 (1988).
- “The Interaction of Articles 6 and 9 of the Uniform Commercial Code: A Study in Conveyancing, Priorities, and Code Interpretation,” 39 *Vanderbilt Law Review* 179 (1986).
- “A Reply to Theodore Eisenberg’s ‘Bankruptcy Law in Perspective’,” 30 *UCLA Law Review* 327 (1982).
- “Non–negotiable Certificates of Deposit: An Article 9 Problem,” 29 *UCLA Law Review* 330 (1981).

### **PUBLICATIONS: Books, Chapters, and Reports**

- CASES, PROBLEMS AND MATERIALS ON SECURITY INTERESTS IN PERSONAL PROPERTY (Foundation Press, 6th ed. 2016; 5th ed. 2011; 4th ed. 2006 & Supp. 2010; 3d ed. 2001; 2d ed. 1992 & Supp. 1999) (with Mooney).
- RETENTION OF TITLE IN AND OUT OF INSOLVENCY (Globe Law & Business, M. Willems consult. ed., 2015) (chapter on the United States of America).
- CASES, PROBLEMS AND MATERIALS ON THE LAW OF PAYMENTS AND OBLIGATIONS (Foundation Press, 5th ed.; in progress) (with the late E. Allan Farnsworth).
- “The Convention on International Interests in Mobile Equipment (Cape Town Convention),” in HAWKLAND’S UNIFORM COMMERCIAL CODE SERIES (West 2009) (supplemented annually).
- CASES, PROBLEMS AND MATERIALS ON SALES AND SECURED FINANCING (Foundation Press, 7th ed. 2002; 6th ed. 1993 & Supp. 1999) (with J. Honnold, C.W. Mooney, Jr., and C. Reitz).
- CASES, PROBLEMS AND MATERIALS ON COMMERCIAL LAW (Foundation Press, 5th ed. 1993) (with E.A. Farnsworth, J. Honnold, C.W. Mooney, Jr., and C. Reitz).
- REPORT OF THE PEB ARTICLE 9 STUDY COMMITTEE (1992) (with Mooney, as Reporter) (under the auspices of the Permanent Editorial Board for the Uniform Commercial Code).

“Trade Names, Bulk Sales, and Name Changes—The Challenges of *In re McBee* to Inventory Financing,” in P. Coogan, et al., SECURED TRANSACTIONS UNDER THE U.C.C. (1986).

**PUBLICATIONS: Selected Essays, Short Articles, and Published Talks**

“Distinguishing Sales of Receivables from Transfers for Security under U.S. Law,” 31 *Butterworths Journal of International Banking and Financial Law* 342 (2016).

“U.C.C. Article 9, Filing–Based Priority, and Fundamental Property Principles: A Response to Professor Plank,” 69 *The Business Lawyer* 79 (2013) (with Mooney).

“Perfecting and Maintaining Perfection in Article 9 Security Interests Under the 2010 Amendments: New Sections 9–503 and 9–316,” 10 *DePaul Business & Commercial Law Journal* 461 (2012) (with J. Kilborn & M. Livingston).

“Filing and Enforcement Under Revised Article 9,” 54 *The Business Lawyer* 1965 (1999) (with Mooney).

“Negotiability, Electronic Commercial Practices, and a New Structure for the UCC Article 9 Filing System,” 31 *Idaho Law Review* 835 (1995) (with Mooney).

“Using Fundamental Principles of Commercial Law to Decide UCC Cases,” 26 *Loyola of Los Angeles Law Review* 637 (1993).

“The Article 9 Study Committee Report: Strong Signals and Hard Choices,” 29 *Idaho Law Review* 561 (1992–93) (with Mooney).

**AWARD**

Recipient, Distinguished Service Award, American College of Commercial Finance Lawyers, 2002 (for work in revising UCC Article 9).

**PROFESSIONAL ACTIVITIES**

Law Reform Activities

Reporter, National Conference of Commissioners of Uniform State Laws and American Law Institute Drafting Committee on Amendments to UCC Articles 1, 3, and 9, 2016–present.

Reporter, NCCUSL–ALI Drafting Committee on Amendments to UCC Article 9, 2008–2011.

Reporter, NCCUSL–ALI Drafting Committee to Revise UCC Article 9, 1993–99.

U.S. Delegate and Commercial Law Coordinator (appointed by the Department of State),  
Diplomatic Conference for the Cape Town Convention Protocol on Matters Specific to Space  
Assets, 2012.

U.S. Delegate and Commercial Law Coordinator (appointed by the Department of State),  
Diplomatic Conference for the Luxembourg Protocol on Matters Specific to Railway Rolling  
Stock, 2007.

ALI Liaison, Uniform Law Commission Drafting Committee to Revise the Uniform Residential  
Landlord and Tenant Act, 2013–15.

American College of Commercial Finance Lawyers Observer, Uniform Law Commission  
Drafting Committee on Amendments to the Uniform Fraudulent Transfer Act, 2012–14

ALI Representative, Committee to Harmonize North American Law with Regard to the  
Assignment of Receivables in International Trade Convention, 2006–07.

Advisor to Government of Thailand regarding the Thai Business Secured Transactions Bill,  
2002.

Consultant, Center for Economic Analysis of Law (concerning draft Romanian law on security  
interests in personal property, 1999, and World Bank Secured Transactions Manual, 2005).

Reporter, Permanent Editorial Board UCC Article 9 Study Committee, 1990–93.

Advisor, Permanent Editorial Board of the Uniform Commercial Code (responsible for drafting  
PEB Commentaries).

Article 9 Study Committee Liaison to the NCCUSL–ALI Drafting Committee to Revise Uniform  
Commercial Code Article 8, 1991–94.

American Bar Association Advisor to the NCCUSL–ALI Drafting Committee to Revise Uniform  
Commercial Code Article 6, 1985–87.

Reporter, NCCUSL and ALI Drafting Committee to Revise UCC Article 6, 1987–89.

Member, PEB Study Committee for Uniform Commercial Code Article 2, 1988–91.

#### Other Selected Professional Service

Elected Member, American Law Institute; Life Member since 2011.

Fellow, American College of Commercial Finance Lawyers; Board of Regents, 1996–99, 2009–2013.

Selected Panels, Speeches, and Programs

Programs on Revised Article 9 (partial list): American Bar Association; Arkansas State Bar; AALS Section on Commercial Law; Bell, Boyd & Lloyd; California Bankers Association; Chicago Clearing House; Chicago Bar Association; FDIC Legal Division; K&L Gates; Minnesota State Bar Association; Morgan, Lewis & Bockius; National Practice Institute.

Faculty, ALI–ABA and ALI CLE courses of study on various aspects of the Uniform Commercial Code, bankruptcy, commercial law, and commercial lending, since 1989.

Panelist, “Electronic Residential Mortgage Notes Under the Proposed Federal Repository Act and the Uniform Commercial Code,” American Bar Association, Business Law Section, Orlando, 2018.

“Mortgage Foreclosures and the Uniform Commercial Code,” Association of Foreclosure Defense Attorneys, Chicago, 2013.

Panelist, “Bankruptcy Update,” 2008 Equipment Leasing and Finance Association Legal Forum.

Panelist, “Globalization of Commercial Law,” Association of American Law Schools Conference, Montreal, 2005.

Participant, University of Pennsylvania Journal of International Economic Law Roundtable Symposium on Cross–Border Secured Transactions, 1999.

Moderator, California Financial Lawyers Conference Annual Seminar, 1991, 1999.

Faculty, Conference on Consumer Finance Law program on Secured Lending, 1998.

Faculty, Eastern District of Pennsylvania Bankruptcy Conference, 1998 Bankruptcy Forum.

Panelist, Annual Meeting, National Conference of Bankruptcy Judges, 1997.

Panelist, ABA program on the revision of UCC Article 8, 1993.

Judge Peter Elliott Memorial Lecture, Financial Lawyers Conference, Los Angeles, 1992.